SUBCHAPTER B—REGULATIONS AFFECTING MARITIME CARRIERS AND RELATED ACTIVITIES

PART 221—REGULATED TRANS-**ACTIONS** INVOLVING DOCU-MENTED VESSELS AND OTHER MARITIME INTERESTS

Subpart A—Introduction

Sec.

221.1 Purpose.

221.3 Definitions.

221.5 Citizenship declarations.

221.7 Applications and fees.

Subpart B—Transfers to Noncitizens or to Registry or Operation Under Authority of a Foreign Country

221.11 Required approvals.

221.13 General approval.

221.15 Approval for transfer of registry or operation under authority of a foreign country or for scrapping in a foreign country.

221.17 Sale of a documented vessel by order of a district court.

221.19 Possession or sale of vessels by mortgagees or trustees other than pursuant to court order.

Subpart C [Reserved]

Subpart D—Transactions Involving Maritime Interests in Time of War or National Emergency Under 46 App. U.S.C. 835 [Reserved]

Subpart E—Civil Penalties

221.61 Purpose.

221.63 Investigation.

221.65 Criteria for determining penalty.

221.67 Stipulation procedure.

221.69 Hearing Officer.

221.71 Hearing Officer referral.

221.73 Initial Hearing Officer consideration.

221.75 Response by party.

221.77 Disclosure of evidence.

221.79 Request for confidential treatment.

221.81 Counsel.

221.83 Witnesses.

221.85 Hearing procedures.

221.87 Records.

221.89 Hearing Officer's decision.

221.91 Appeals.

221.93 Collection of civil penalties.

Subpart F—Other Transfers Involving Documented Vessels [Reserved]

Subpart G—Savings Provisions

221.111 Status of prior transactions-controlling dates.

AUTHORITY: 46 U.S.C. chs. 301, 313, and 561; 49 CFR 1.93.

SOURCE: 57 FR 23478, June 3, 1992, unless otherwise noted.

Subpart A—Introduction

§221.1 Purpose.

- (a) This part implements statutory responsibilities of the Secretary of Transportation (the Secretary) with respect to:
- (1) The regulation pursuant to 46 U.S.C. 56101 and 56103of transactions involving transfers of:
- (i) An interest in or control of Documented Vessels owned by Citizens of the United States (including the Transfer of a Controlling Interest in such owners) to Noncitizens or;
- (ii) A Documented Vessel to registry or Operation under Authority of a Foreign Country or for scrapping in a foreign country; and
- (2) Transactions involving maritime interests in time of war or national emergency under 46 U.S.C. 56102.
- (b) The responsibilities in paragraph (a) (1) and (2) of this section have been delegated by the Secretary to the Maritime Administrator.

[57 FR 23478, June 3, 1992, as amended at 63 FR 6880, Feb. 11, 1998; 78 FR 35771, June 14, 2013]

§ 221.3 Definitions.

For the purpose of this part, when used in capitalized form:

- (a) Bowaters Corporation means a Noncitizen corporation organized under the laws of the United States or of a State that has satisfied the requirements of 46 U.S.C. 12118 and holds a valid Certificate of Compliance issued by the Coast Guard.
- (b) Charter means any agreement or commitment by which the possession or services of a vessel are secured for a